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| APPLICATION NO.                          | FILING DATE       | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|------------------------------------------|-------------------|----------------------|-------------------------|------------------|
| 10/721,115                               | 11/24/2003        | John G. Sotos        | APN-001                 | 6156             |
| 7590 10/12/2006                          |                   |                      | EXAMINER                |                  |
| Kenneth M. Kaslow c/o Apneos Corporation |                   |                      | MALLARI, PATRICIA C     |                  |
| #41                                      | poration .        |                      | ART UNIT                | PAPER NUMBER     |
| 2033 Ralston Ave.<br>Belmont, CA 94002   |                   |                      | 3735                    |                  |
| beimont, CA                              | <del>94</del> 002 |                      | DATE MAILED: 10/12/2006 |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

## Notice of Non-Compliant Amendment (37 CFR 1.121)

| Application No.     | Applicant(s) |   |
|---------------------|--------------|---|
| 10/721,115          | SOTOS ET AL  |   |
| Examiner            | Art Unit     |   |
| Patricia C. Mallari | 3735         | , |

|     | The MAILING DATE of this communication appears on the cover sheet with the correspondence address                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| rec | e amendment document filed on <u>10 July 2006</u> is considered non-compliant because it has failed to meet the quirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following m(s) is required.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| ТН  | IE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:  ☐ 1. Amendments to the specification: ☐ A. Amended paragraph(s) do not include markings. ☐ B. New paragraph(s) should not be underlined. ☐ C. Other <u>See Continuation Sheet</u> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|     | <ul> <li>2. Abstract:</li> <li>A. Not presented on a separate sheet. 37 CFR 1.72.</li> <li>B. Other</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|     | <ul> <li>3. Amendments to the drawings:         <ul> <li>A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).</li> <li>B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.</li> <li>C. Other</li> </ul> </li> </ul>                                                                                                                                                                                                                                                                                                              |
|     | <ul> <li>4. Amendments to the claims:</li> <li>A. A complete listing of all of the claims is not present.</li> <li>B. The listing of claims does not include the text of all pending claims (including withdrawn claims)</li> <li>C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>D. The claims of this amendment paper have not been presented in ascending numerical order.</li> <li>E. Other:</li> </ul> |
|     | 5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4):                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| Fo  | r further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| TIN | ME PERIODS FOR FILING A REPLY TO THIS NOTICE:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 1.  | Applicant is given <b>no new time period</b> if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the <b>entire corrected amendment</b> must be resubmitted.                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 2.  | Applicant is given <b>one month</b> , or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a <i>Quayle</i> action. If any of above boxes 1. to 4. are checked, the correction required is only the <b>corrected section</b> of the non-compliant amendment in compliance with 37 CFR 1.121.                                                                                                |
|     | Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|     | Failure to timely respond to this notice will result in:  Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

filed in response to a *Quayle* action; or **Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental

Legal Instruments Examiner (LIE), if applicable

Telephone No.

Continuation of 1(c) Other: The instructions for where to amend the specification do not seem to match the specification as filed. For example, the instructions refer to the specification using paragraph numbers, but the specification has no paragraph numbers. Also, amendments to the specification must be mad e by adding, deleting, or replacing a paragraph, by replacing a section, or by a substituted specification, wherein a section is as set forth in CFR 1.77(b). For example, the instruction numbered "3" should include two marked up replacement paragraphs intended to replace "paragraph 47"...